

Detailed Social and Environmental Screening Report

ASM-02171 - SESP (Social and Environmental Screening Procedure)

Procedure Year: 2023

Procedure Status: Confirmed by PAC/Board

Procedure Type: Design

Record Owner: Wendy Espin

Created by: Wendy Espin,11/28/2023, 4:19 PM

Procedure Rating: Low

Procedure Name: ASM-02171

Procedure Department: CO - Ecuador - Quito

Last Modified by: Claire MEDINA,3/27/2024, 11:37 AM

Portofolio/Project Type: Project

RELATED PORTFOLIO MYWPS/PROJECTS (1)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01000752	Fortalecimiento Democrático de la Institucionalidad Electoral en Ecuador	CO - Ecuador - Quito	On Going		6/15/2023	5/31/2026

RELATED QAS (1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-02172	Quality Assurance - Design	2023

Triggered Requirements

Number of Identified Risks: 1

The Social and Environmental Screening Procedure (SESP) has indicated that, from a risk perspective, the following SES Principles and Standards are triggered for the project:

UNDP SES PRINCIPLES AND STANDARDS	# OF RISKS	RISK SIGNIFICANCE	TRIGGERED PRINCIPLES
Standard 6: Indigenous Peoples	1	Low	

Assessment

Status: Incomplete

Is assessment required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted assessment(s) required?

No

If yes, please indicate the status of required assessment(s)?

Comments (for instance, please indicate the assessment type) (10000 Characters maximum)

Environmental and Social Impact Assessment (ESIA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Strategic Environmental and Social Assessment (SESA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Are management plans required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted management plan(s) required?

No

If yes, please indicate the status of required management plan(s)?

Comments (for instance, please indicate the type of management plan) (10000 Characters maximum)

Environmental and Social Management Plan (ESMP) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Environmental and Social Management Framework (ESMF) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Approval Information

Approval Date: March 25, 2024

Approved By: Claire MEDINA <claire.medina@undp.org>

Confirmed Date: March 27, 2024

Confirmed By: Claire MEDINA <claire.medina@undp.org>

PAC/Board Date: June 29, 2023

PAC/Board Meeting minutes uploaded: ✓

APPROVAL HISTORY

STEP NAME	DATE	STATUS	ASSIGNED TO
Confirmed by PAC/Board	2024-03-27 11:37:45	Approved	Claire MEDINA
Cleared for PAC/Board	2024-03-25 11:39:07	Approved	Veronica Bohorquez
Cleared for PAC/Board	2024-03-25 10:36:49	Reassigned	Veronica Bohorquez

Identified Risks & Treatments

Principle & Standard: Standard 6: Indigenous Peoples -1 Risk(s)

Risk Significance:  Low

Triggered Principles:

Risk Significance:  Low

Event:

Limitada participación de grupos subrepresentados en los procesos electorales.

Causes:

Resistencia a la incorporación del enfoque intercultural y de género en los distintos eventos, por parte de diferentes sectores.

Impact:

Baja inclusividad en el proyecto.

RELATED TREATMENTS (1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMENTS
El proyecto apoyara a las autoridades electorales a que las mesas de seguridad se reúnan periódicamente y mantengan un enfoque intercultural y de género.		12/30/2024	Ongoing	

Questionnaire

Step 1 - Integrate the SES Programming Principles

Status: Complete

QUESTION 1: How Does the Portfolio MYWP/Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the portfolio MYWP/project mainstreams the human rights-based approach (32000 Characters maximum)

Es un proyecto que versa directamente sobre el derecho inalienable a la participación política y al voto, y que procura garantizar que toda la población de Ecuador, incluyendo aquellas comunidades tradicionalmente excluidas (p.ej. mujeres, jóvenes, comunidades indígenas, etc.) goce de los mismos derechos en igualdad de condiciones. La visión es desarrollar una capacidad crítica para comprometer un proceso electoral transparente, que permita la participación política en función al derecho de sufragio activo y pasivo.

Briefly describe in the space below how the portfolio MYWP/project is likely to improve gender equality and women's empowerment (32000 Characters maximum)

El proyecto incorporará un enfoque para garantizar la participación electoral a las mujeres en Ecuador. El proyecto pretende instalar un clima de paz en el cual las mujeres puedan ejercer sus derechos políticos, tanto como votantes como candidatas sin sufrir violencia electoral por causa de sus acciones.

Briefly describe in the space below how the portfolio MYWP/project mainstreams sustainability and resilience (32000 Characters maximum)

El proyecto no prevé un impacto significativo en materia de sostenibilidad ambiental. Las acciones del proyecto se llevarán a cabo teniendo en cuenta la percepción ambiental durante la ejecución de las distintas actividades.

Briefly describe in the space below how the portfolio MYWP/project strengthens accountability to stakeholders (32000 Characters maximum)

El proyecto será responsable de mantener una comunicación fluida con las autoridades electorales con la cual el fortalecimiento de las relaciones interinstitucionales entre el proyecto y las autoridades electorales será efectiva. Con esta comunicación se espera que la apropiación y aceptación de los objetivos y resultados sean parte de las instituciones durante la vida del proyecto. Este compromiso mejorara la transparencia, la responsabilidad, integridad, efectividad y sostenibilidad a través de la gobernanza y operaciones del proyecto.

Step 2.1 - SES Risk Screening Checklist (Programming Principles)

Status: Complete

Human Rights

P.1 Have local communities or individuals raised human rights concerns regarding the portfolio MYWP/project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the portfolio MYWP/project?	No

P.3 Is there a risk that rights-holders (e.g. persons affected by programming activities) do not have the capacity to claim their rights?	No
Would the portfolio MYWP/project potentially involve or lead to:	
P.4 Adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5 Inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities?	No
P.6 Restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7 Exacerbation of conflicts among and/or the risk of violence to affected communities and individuals?	No

Gender Equality and Women's Empowerment

P.8 Have women’s groups/leaders raised gender equality concerns regarding the portfolio MYWP/project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
Would the portfolio MYWP/project potentially involve or lead to:	
P.9 Adverse impacts on gender equality and/or the situation of women and girls?	No
P.10 Reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11 Limitations on women’s ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	No
P.12 Exacerbation of risks of gender-based violence?	No

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below

Accountability

Would the portfolio MYWP/project potentially involve or lead to:	
P.13 Exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14 Grievances or objections from potentially affected stakeholders?	No
P.15 Risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project/portfolio?	No

Step 2.2 - SES Risk Screening Checklist (Standards)

Status: Complete

Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management

Would the portfolio MYWP/project potentially involve or lead to:	
1.1 Adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods?	No
1.4 Risks to endangered species?	No
1.5 Exacerbation of illegal wildlife trade?	No

1.6 Introduction of invasive alien species?	No
1.7 Adverse impacts on soil?	No
1.8 Harvesting of natural forests, plantation development, or reforestation?	No
1.9 Significant agricultural production?	No
1.10 Animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11 Significant extraction, diversion or containment of surface or ground water?	No
1.12 Handling or utilization of genetically modified organisms/living modified organisms?	No
1.13 Utilization of genetic resources?	No
1.14 Adverse transboundary or global environmental concerns?	No

Standard 2: Climate Change and Disaster Risks

Would the portfolio MYWP/project potentially involve or lead to:

2.1 Areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2 Outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters	No
2.3 Direct or indirect increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)?	No
2.4 Increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No

Standard 3: Community Health, Safety and Security

Would the portfolio MYWP/project potentially involve or lead to:

3.1 Construction and/or infrastructure development (e.g. roads, buildings, dams)?	No
3.2 Air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3 Harm or losses due to failure of structural elements of the programming activities (e.g. collapse of buildings or infrastructure)?	No
3.4 Risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5 Transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6 Adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7 Influx of portfolio MYWP/project workers to targeted areas?	No
3.8 Engagement of security personnel to protect facilities and property or to support portfolio MYWP/project activities?	No

Standard 4: Cultural Heritage

Would the portfolio MYWP/project potentially involve or lead to:

4.1 Activities adjacent to or within a Cultural Heritage site?	No
4.2 Significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No

4.3 Adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: portfolio MYWPs/projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4 Alterations to landscapes and natural features with cultural significance?	No
4.5 Utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No

Standard 5: Displacement and Resettlement

Would the portfolio MYWP/project potentially involve or lead to:

5.1 Temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2 Economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions - even in the absence of physical relocation)?	No
5.3 Risk of forced evictions?	No
5.4 Impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No

Standard 6: Indigenous Peoples

Would the portfolio MYWP/project potentially involve or lead to:

6.1 Areas where indigenous peoples are present (including project area of influence)?	Yes
6.2 Activities located on lands and territories claimed by indigenous peoples?	No
6.3 Impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the programming activities are located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?	No
6.4 The absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5 The utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6 Forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7 Adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8 Risks to the physical and cultural survival of indigenous peoples?	No
6.9 Impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No

Standard 7: Labour and Working Conditions

Would the portfolio MYWP/project potentially involve or lead to: (note: applies to portfolio MYWP, project and contractor workers)

7.1 Working conditions that do not meet national labour laws and international commitments?	No
7.2 Working conditions that may deny freedom of association and collective bargaining?	No
7.3 Use of child labour?	No
7.4 Use of forced labour?	No

7.5 Discriminatory working conditions and/or lack of equal opportunity?	No
7.6 Occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the portfolio MYWP/project life-cycle?	No

Standard 8: Pollution Prevention and Resource Efficiency

Would the portfolio MYWP/project potentially involve or lead to:

8.1 The release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2 The generation of waste (both hazardous and non-hazardous)?	No
8.3 The manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4 The use of chemicals or materials subject to international bans or phase-outs?	No
8.5 The application of pesticides that may have a negative effect on the environment or human health?	No
8.6 Significant consumption of raw materials, energy, and/or water?	No